

DIPERFECT GRAPHS

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Dedicated to Tibor Gallai on his seventieth birthday

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Gallai and Milgram have shown that the vertices of a directed graph, with stability number $\alpha(G)$, can be covered by exactly $\alpha(G)$ disjoint paths. However, the various proofs of this result do not imply the existence of a maximum stable set S and of a partition of the vertex-set into paths $\mu_1, \mu_2, \dots, \mu_k$ such that $|\mu_i \cap S| = 1$ for all i .

Later, Gallai proved that in a directed graph, the maximum number of vertices in a path is at least equal to the chromatic number; here again, we do not know if there exists an optimal coloring (S_1, S_2, \dots, S_k) and a path μ such that $|\mu \cap S_i| = 1$ for all i .

In this paper we show that many directed graphs, like the perfect graphs, have stronger properties: for every maximal stable set S there exists a partition of the vertex set into paths which meet the stable set in only one point. Also: for every optimal coloring there exists a path which meets each color class in only one point. This suggests several conjectures similar to the perfect graph conjecture.

1. Path-partitions

Let G be a (directed) graph defined by a set X of vertices and a set $U \subset X \times X$ of arcs (directed edges). A *path* $\vec{\mu}$ will be defined as a sequence (x_1, x_2, \dots, x_k) of distinct vertices, such that $(x_1, x_2) \in U, \dots, (x_{k-1}, x_k) \in U$. Every path $\vec{\mu} = (x_1, x_2, \dots, x_k)$ defines a set that we denote by $\mu = \{x_1, x_2, \dots, x_k\}$.

A family $M = \{\mu_1, \mu_2, \dots\}$ is a *path-partition* of G if the μ_i are pairwise vertex-disjoint, and $\bigcup \mu_i = X$.

Denote by $\alpha(G)$ the *stability number* of G , that is the maximum number of independent vertices in G . The purpose of this paper is to enlarge the field of application of the following classical result [7].

Theorem of Gallai—Milgram: Every directed graph G satisfies $\min |M| \leq \alpha(G)$, where the minimum is taken over all path-partitions M .

In other words, one can always cover the vertex-set with exactly $\alpha(G)$ paths which are pairwise disjoint.

In this section we formulate another result which combines an idea of Las Vergnas [8] and an idea of Linial [10]. The proof is essentially the same as in the Gallai—Milgram theorem, but we obtain as corollaries results which could not be obtained directly.

Let H be an arborescence; the *root* of H is the vertex x with $d_H^-(x)=0$, and a *sink* of H is a vertex y with $d_H^+(y)=0$. If y is a sink, the maximal path of H leading to y which do not contain a vertex z with $d_H^+(z)\geq 2$, is called a *terminal branch* of H . Let H be an *arborescence forest* of G , that is a partial graph of G whose connected components are arborescences. We denote by $R(H)$ the set of the roots of these arborescences, and by $S(H)$ the set of the sinks of these arborescences. So a vertex in $R(H)\cap S(H)$ is an isolated vertex of H .

Theorem 1. *Let H_0 be an arborescence forest of G with $R(H_0)=R_0$ and $S(H_0)=S_0$. For every arborescence forest H with $R(H)\subseteq R_0$, $S(H)\subseteq S_0$ and $|S(H)|$ minimum, there exists a stable set which meets every terminal branch of H .*

Proof. We assume that the result holds true for all graphs of order less than n , and we consider a graph G of order n . Let H be an arborescence forest of G with $R(H)\subseteq R_0$, $S(H)\subseteq S_0$, $|S(H)|$ minimum. If $S(H)$ is stable, the theorem is proved. If $S(H)$ is not stable, there exists in G an arc (b, a) connecting two vertices a and b in $S(H)$.

We have $a\notin R(H)$, because otherwise, $H'=H+(b, a)$ satisfies $R(H')\subseteq R_0$, $S(H')=S(H)-\{b\}\subseteq S_0$, $|S(H')|<|S(H)|$, a contradiction. So H has an arc incident to a , say (a_1, a) .

Furthermore, $d_H^+(a_1)=1$, because otherwise a_1 has at least two descendents in H which belong to $S(H)$, and $H'=H-(a_1, a)+(b, a)$ satisfies $R(H')\subseteq R_0$, $S(H')\subseteq S_0$, $|S(H')|<|S(H)|$, a contradiction.

The subgraph \bar{G} of G induced by $\bar{X}=X-\{a\}$ admits $\bar{H}=H_{\bar{X}}$ as an arborescence forest with $R(\bar{H})\subseteq R_0$, $S(\bar{H})\subseteq (S_0-\{a\})\cup\{a_1\}$.

Now, we shall show that $|S(\bar{H})|$ is minimum in \bar{G} . Otherwise, there exists in \bar{G} an arborescence forest H' with $R(H')\subseteq R_0$, $S(H')\subseteq (S_0-\{a\})\cup\{a_1\}$, $|S(H')|\leq |S(\bar{H})|-1$. The following cases can happen:

Case 1: $a_1\in S(H')$.

Then $H''=H'+(a_1, a)$ is an arborescence of G which satisfies $R(H'')\subseteq R_0$, $S(H'')\subseteq S_0$, $|S(H'')|=|S(H')|\leq |S(\bar{H})|-1=|S(H)|-1$, a contradiction.

Case 2: $a_1\notin S(H')$, $b\in S(H')$.

Then $H''=H'+(b, a)$ satisfies $S(H'')\subseteq S_0$, $R(H'')\subseteq R_0$, $|S(H'')|=|S(\bar{H}')|\leq |S(H)|-1$, a contradiction.

Case 3: $a_1\notin S(H')$, $b\notin S(H')$.

Then $|S(H')|\leq |S(\bar{H})|-2$, and $H''=H'+(a_1, a)$ satisfies $R(H'')\subseteq R_0$, $S(H'')\subseteq S_0$, $|S(H'')|\leq |S(H)|-1$ — a contradiction.

Thus, we have proved the minimality of $|S(\bar{H})|$. So, by the induction hypothesis, there exists in \bar{G} a stable set S which meets every terminal branch of \bar{H} . Clearly, S meets also every terminal branch of $H=\bar{H}+(a_1, a)$. ■

Corollary 1. (Las Vergnas [8]). *Every quasi-strongly connected graph G contains a spanning arborescence with at most $\alpha(G)$ sinks.* (A graph is quasi-strongly connected if for every $x, y\in X$, there exists an ancestor common to x and y .)

Corollary 2. (Linial [10]). *Let $M=\{\mu_1, \mu_2, \dots, \mu_k\}$ be a path partition of G ; either there exists a stable set S which meets each of the μ_i 's, or there exists a path partition M' with $S(M')\subseteq S(M)$, $S(M')\neq S(M)$.*

Corollary 3. (Camion [5]). *Let G be a strongly connected graph such that every pair of vertices is linked with at least one arc ("strong tournament"). Then there exists a Hamilton circuit.*

Proof. Let μ be the largest circuit in G . If μ does not cover the vertex set, every arc going out of μ is the initial arc of a path μ' which comes back into μ (since the contraction of μ gives a graph which is also strongly connected). Let $a \in \mu \cap \mu'$ be the terminal vertex of μ' ; the graph obtained from $\mu + \mu'$ by removing the arc $(y, a) \in \mu$ and the arc $(y', a) \in \mu'$ is an arborescence with root a and with sinks y and y' . By the theorem 1, $G_{\mu + \mu'}$ can be covered by an arborescence of root a with only one sink, either y or y' . By adding the arc of G which goes from that sink to a , we form a circuit larger than μ , which is a contradiction. ■

Corollary 4. *If a graph G has a basis B with $|B| = \alpha(G)$, the vertex-set can be covered by $\alpha(G)$ disjoint paths all starting from B . (A basis of $G = (X, U)$ is a set $B \subseteq X$ such that each vertex is the terminal end of a path starting from B , and no two distinct vertices in B are connected by a path.)*

Proof. A basis always exists, by a theorem of König. Consider the sets $B_0 = B, B_1, B_2, \dots$ where B_i is the set of all vertices which can be reached by a path of length i from B and by no path of length smaller than i . Since B is a basis, $\cup B_i = X$.

Consider a graph H_0 with vertex-set X , obtained by taking for each $x \in B_i$, $i \geq 1$, one of the arcs of G going from B_{i-1} to x . Clearly H_0 is an arborescence forest with $R(H_0) = B$. By Theorem 1 there exists an arborescence forest H with $R(H) \subseteq B$, $|S(H)| \leq \alpha(G)$. However B is a basis, so $R(H) = B$. ■

When G is a strongly connected graph, one can expect to prove stronger results, that is the existence of better path partitions. Let us mention:

Conjecture 1. (Las Vergnas). *Every strongly connected graph G with $\alpha(G) \geq 2$ has a spanning arborescence H with $|S(H)| \leq \alpha(G) - 1$.*

Conjecture 2. (Bermond [2]). *The vertices of every strongly connected graph G can be covered by $\alpha(G)$ circuits.*

One can cover these two conjectures by another one:

Conjecture 3. *Every strongly connected graph can be covered by a circuit C and $\alpha(G)$ disjoint paths having at most this initial end-point in common with C .*

This conjecture has been proved recently for $\alpha(G) = 2$ by C. C. Chen and P. Manalastas [18].

In fact we can apply Theorem 1 to prove the first conjecture for a graph G having the following property:

(P): G has a circuit which meets every maximum stable set.

In fact, many graphs satisfy Property (P):

Example 1. (Pósa [12]). A symmetric graph satisfies Property (P).

Let $(y_0, y_1, y_2, \dots, y_k)$ be the longest path issuing from y_0 ; all the neighbours of y_k are of the type y_i with $0 \leq i < k$; let i_0 be the smallest index $i \geq 0$. Then the

circuit $\mu = (y_{i_0}, y_{i_0+1}, \dots, y_k, y_i)$ contains y_k and all its neighbours; therefore every maximal stable set meets the circuit μ . ■

Example 2. (Meyniel [11]). Not every strongly connected graph satisfies Property (P). Consider a graph G in Figure 1. This graph G has stability number $\alpha(G)=2$, but no circuit meets all the maximum stable sets.

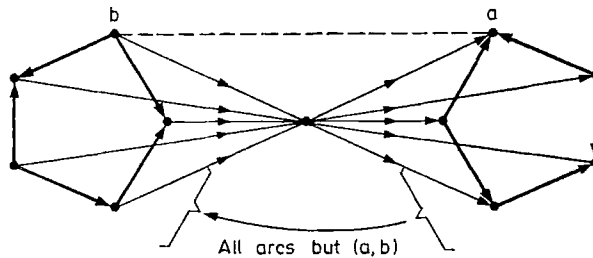


Fig. 1

Theorem 2. Let $G=(X, U)$ be a strongly connected graph with $\alpha(G)>1$ that satisfies Property (P); then there exists a spanning arborescence H with $|S(H)| \leq \alpha(G)-1$.

Proof. Let μ be a circuit which meets every maximum stable set. Let \tilde{G} be the graph obtained from G by contracting μ into a single vertex c . The graph \tilde{G} is strongly connected; so, by Theorem 1, it admits a spanning arborescence \tilde{H} with root c , and \tilde{G} has a stable set S with $|S|=|S(\tilde{H})|$ which meets every terminal branch of \tilde{H} .

Clearly, $c \notin S$; so, from the definition of μ , we see that S is not a maximum stable set of G . So, $|S| \leq \alpha(G)-1$. We can construct in G a spanning arborescence H with arcs of μ and the image of the arcs of \tilde{H} , and by removing one of the arcs of μ so that $|S(H)|=|S(\tilde{H})| \leq \alpha(G)-1$.

This arborescence H fulfills the conditions of the theorem. ■

Remark. This result shows that the Las Vergnas conjecture is true for symmetric graphs; the same argument shows also that Bermond's conjecture is true for symmetric graphs. However, we can expect better results, and in fact, Amar, Fournier, Germa [1] have conjectured that the vertices of a symmetric graph G can be covered by $\lceil \alpha(G)/2 \rceil$ cycles.

By using Theorem 1, we can also extend well-known properties of tournaments to "join" of graphs. The following result has been proved by Las Vergnas [9], and later by Linial [10]:

Proposition 1. Let $G=(X, U)$ be a graph, let (A, B, \dots, K) be a partition of X such that G_A, G_B, \dots, G_K have Hamilton paths $(a_1, a_2, \dots, a'), (b_1, b_2, \dots, b'), \dots, (k_1, k_2, \dots, k')$, respectively. If every pair of vertices in different classes is joined by at least one arc, then G contains a Hamilton path starting in $\{a_1, b_1, \dots, k_1\}$ and ending in $\{a', b', \dots, k'\}$.

Proof. Clearly it suffices to show the result for a partition (A, B) of X in two classes. In this case, the two Hamilton paths of G_A and G_B constitute an arborescence forest H_0 with $R(H_0) \subset \{a_1, b_1\}$, $S(H_0) \subset \{a', b'\}$. From Theorem 1, this arborescence forest is not minimal, and there exists a single path H with $R(H) \subset \{a_1, b_1\}$, $S(H) \subset \{a', b'\}$. ■

For strongly connected graphs, the same argument shows the existence of Hamilton circuits. More generally, we get:

Proposition 2. (Las Vergnas, [9]). *Let $G=(X, U)$ be a strongly connected graph; let (A, B, \dots, K) be a partition of X such that G_A, G_B, \dots have Hamilton circuits (or are reduced to a singleton). If every pair of vertices in different classes is joined by at least one arc, then for every integer l , $3 \leq l \leq |X|$, the graph G contains a circuit of length l .*

For a tournament G this result was found by Moon (1969). For a complete proof of Proposition 2, see [9].

2. α -diperfect graphs

A directed graph G is α -diperfect if for every maximum stable set S , there exists a partition of the vertex-set into paths μ_1, μ_2, \dots such that $|S \cap \mu_i| = 1$ for all i , and if every induced subgraph of G has the same property. Many important classes of graphs are α -diperfect.

Theorem 3. *Every perfect graph is α -diperfect.*

Proof. In a perfect graph G , there exists $k = \alpha(G)$ cliques C_1, C_2, \dots, C_k which partition the vertex-set, and by Rédei's Theorem, each C_i is spanned by a path μ_i . So, $|S \cap \mu_i| = 1$ ($i = 1, 2, \dots$). ■

Theorem 4. *Every symmetric graph is α -diperfect.*

Proof. Let $G=(X, U)$ be a symmetric graph: $(x, y) \in U \Rightarrow (y, x) \in U$. For a maximum stable set S of G consider a graph G' obtained from G by removing the arcs going into S . By the Theorem of Gallai—Milgram, G' has a partition into $k = \alpha(G) = \alpha(G')$ paths $\mu_1, \mu_2, \dots, \mu_k$. Clearly, the μ_i 's are paths of G and satisfy $|S \cap \mu_i| = 1$. ■

An odd cycle of length $2k+1$ is given by a set of $2k+1$ arcs $u_1, u_2, \dots, u_{2k+1}$ and determines a sequence of vertices $(x_1, x_2, \dots, x_{2k+1})$; a chord can be either an arc of G joining two non-consecutive x_i 's, or an arc of G parallel with one of the u_j 's. An odd cycle $(x_1, x_2, \dots, x_{2k+1})$ is anti-directed if

- 1) its length is > 3 ,
- 2) the longest path is of length 2,
- 3) each of the vertices $x_1, x_2, x_3, x_4, x_6, x_8, \dots, x_{2k}$ is either a source or a sink,

There are two anti-directed cycles of length 9, shown in Figure 2 but there is only one anti-directed cycle of length 5, or 7.

Proposition 3. *An anti-directed odd cycle without chords is not α -diperfect.*

Proof. If G is an anti-directed odd cycle of length $2k+1$, the set $S = \{x_1, x_4, x_6, \dots, x_{2k}\}$ is a maximum stable set. If M is a partition into paths which meet S in only one point, then the path of M which contains x_2 is necessarily (x_2, x_1) ; so the path of M which contains x_3 is necessarily (x_4, x_3) ; so the path of M which contains x_5 is necessarily (x_5, x_6) , etc... Thus, none of the paths of M can be of length 2, which is a contradiction. ■

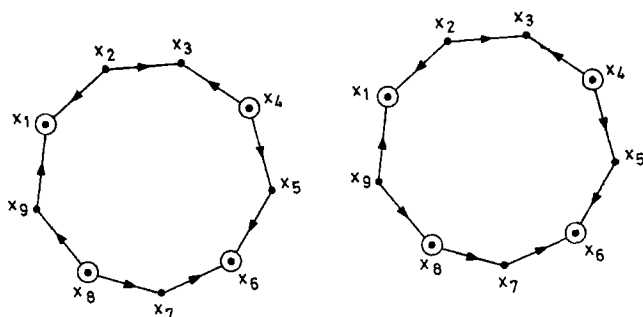


Fig. 2

The graph on Figure 3 is not α -diperfect (because the set $\{a, b\}$ is an optimal stable set which does not have the required property), but it contains anti-directed cycles of length 5. This suggests the following conjectures:

Conjecture 1. A graph G is α -diperfect if and only if G does not contain any anti-directed odd cycle without chords.

Conjecture 2. If every odd cycle (of length > 3) has a chord, then G is α -diperfect.

Conjecture 3. If every odd cycle (of length > 3) has at least two chords, then G is α -diperfect.

(Each of these conjectures is stronger than the next one.)

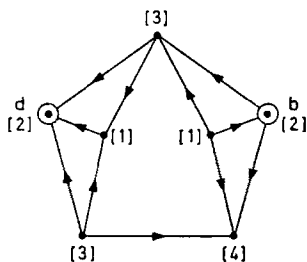


Fig. 3

3. γ -diperfect graphs

Gallai [6] and Roy [13] have proved independently that for a directed graph G , the maximum cardinality of a directed path is at least the chromatic number. A stronger statement can be proved by similar argument:

Theorem 5. *Let k be the maximum number of vertices in a path of G . Then for every path μ with k vertices, there exists a k -coloring (S_1, S_2, \dots, S_k) such that $|S_i \cap \mu| = 1$ for $i = 1, 2, \dots, k$. Furthermore, this k -coloring has the following property: for each $x \in S_i$, there exists an arc going from x to S_{i-1} .*

Proof. Let μ be a path with $|\mu| = k$. Let H be a partial graph of G obtained from μ by adding to μ as many arcs of G as possible without creating a circuit.

Put $\lambda(x) = \max \{|\mu| : \mu \text{ is a path of } H \text{ issuing from } x\}$. If (x, y) is an arc of H , then $\lambda(x) > \lambda(y)$ (because H is acyclic).

If (x, y) is an arc of $G - H$, then $\lambda(y) > \lambda(x)$ (because $\mu + (x, y)$ has a circuit).

So for every arc (x, y) of G , we have $\lambda(x) \neq \lambda(y)$, and therefore $\lambda(x)$ is a coloring function with $\max_x \lambda(x) = k$ colors.

Thus a k -coloring with the required property is defined. ■

Corollary. *Let $k = \max |\mu|$, and let S be a set of vertices contained in a path μ with $|\mu| = k$. Then the set $A = \{x : x \in X - \mu, \Gamma(x) \subset S\} \cup (\mu - S)$ induces a subgraph with chromatic number $\gamma(G_A) \leq k - |S|$.*

Remark. A generalization of [6] has been obtained by Bondy [3]: If a strongly connected graph G has at least 2 vertices, the longest circuit ("directed cycle") has length $\leq \gamma(G)$. The Gallai—Roy theorem for a graph H can be proved by using the Bondy theorem for the graph obtained from G by adding a new vertex x_0 that we join in both directions to every vertex of G . Furthermore, it contains also a theorem of Camion [5] which states that a strongly connected tournament has a Hamilton circuit. Remark also that the proof given above does not imply that every graph G has an optimal coloring and a path μ which meets each color exactly once. However, we have:

Proposition 4. *A directed graph G with $\gamma(G) = 3$ has a 3-coloring (S_1, S_2, S_3) and a path $\mu = (a, b, c)$ which meets each color class S_i exactly once.*

Proof. Suppose that G is a graph for which that proposition does not hold true.

Let $(\bar{S}_1, \bar{S}_2, \bar{S}_3)$ be a 3-coloring of G , and let $\mu = (a, b, c)$ be a path of 3 vertices (which exists by the Gallai—Roy Theorem); since μ does not meet the 3 color classes, we have, say, $a, c \in \bar{S}_1, b \in \bar{S}_3$. Let S_3 be a maximal stable set which contains \bar{S}_3 , and put $S_1 = \bar{S}_1 - S_3, S_2 = \bar{S}_2 - S_3$. Thus, we have $a \in S_1, c \in S_1, b \in S_3$.

A vertex x of $G_{S_1 \cup S_2}$ is necessarily a source or a sink. Otherwise, there is a path (y, x, z) with $x \in S_1, y, z \in S_2$; since x is adjacent to a vertex $v \in S_3$, there is either a 3-colored path (y, x, v) , or a 3-colored path (v, x, z) , which is a contradiction.

Let T_1 be the set of non-isolated sources, T_2 be the set of non-isolated sinks and T_0 be the set of isolated vertices in $G_{S_1 \cup S_2}$. Thus, $(T_1, T_2 \cup T_0, S_3)$ is a 3-coloring of G with no 3-colored path and we may assume that

$$a, c \in T_2 \cup T_0, b \in S_3.$$

Every arc between T_2 and S_3 is directed from S_3 (otherwise there would be a 3-colored path). So, $a \in T_0$, and $\mu = (a, b, c)$ meets the 3 colors of the coloring: $(T_1 \cup \{a\}, T_2 \cup T_0 - \{a\}, S_3)$. The contradiction follows. ■

Remark. Among the applications of the Gallai—Roy Theorem, let us mention:

1. Rédei's Theorem. In every tournament, there exists a path which meets each vertex exactly once.

2. The Chvátal—Komlós Theorem. [16] Let $G = (X, U)$ be a graph, let $U = U_1 + U_2 + \dots + U_q$ be a partition of the arc set into q classes, let p_1, p_2, \dots, p_q be integers such that $p_1 p_2 \dots p_q < \gamma(G)$. Then for some i , the partial graph $G_i = (X, U_i)$ contains a path with more than p_i vertices.

3. The generalized Erdős—Szekeres Theorem. [17] Let $\sigma = (a_1, a_2, \dots)$ be a sequence of $p_1 p_2 \dots p_q + 1$ distinct integers; let $\varrho_1, \varrho_2, \dots, \varrho_q$ be binary relations satisfying: for every $i < j$, there exists a relation ϱ_k with $a_i \varrho_k a_j$. Then for some k , there exists a subsequence $\sigma' = (a_{i_1}, a_{i_2}, \dots)$ of σ of length $> p_k$, such that:

$$a_{i_1} \varrho_k a_{i_2}, a_{i_2} \varrho_k a_{i_3}, \dots$$

The proofs are easy and are left to the reader.

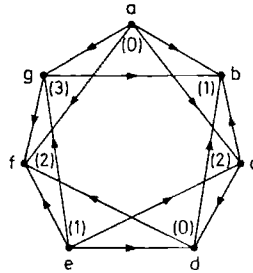


Fig. 4

A directed graph G is γ -diperfect if for every optimal coloring (S_1, S_2, \dots, S_k) , there exists a path μ such that $|\mu \cap S_i| = 1$ for all i , and if every induced subgraph of G has the same property.

Theorem 6. Every perfect graph is γ -diperfect.

Proof. In a perfect graph G there exists a clique C with $|C| = \gamma(G)$, and by Rédei's Theorem, C is spanned by a path μ . So, every optimal coloring (S_1, S_2, \dots, S_k) satisfies

$$|S_i \cap \mu| = 1 \quad (i = 1, 2, \dots). \quad \blacksquare$$

Theorem 7. Every symmetric graph is γ -diperfect.

Proof. Let (S_1, S_2, \dots, S_k) be an optimal coloring of a symmetric graph G , and let G' be the graph obtained from G by removing the arcs going from S_j to S_i if $j > i$. By the theorem of Gallai—Roy, G' has a path μ with $k = \gamma(G')$ vertices, therefore it meets each of the S_i 's exactly once. ■

The graph in Figure 4, which is isomorphic to the complement \bar{C}_7 of a cycle of length 7, is not γ -diperfect, because $\gamma(\bar{C}_7)=4$ and an optimal 4-coloring is represented by the numbers in brackets: one can see that no path of length ≥ 4 contains the vertex with color (3).

Also, it is easy to show that the graphs on Figure 2 and on Figure 3 are not γ -diperfect, and that the strong perfect graph conjecture is equivalent to: „A simple graph is γ -diperfect for each orientation of its edges if and only if G is perfect.”

Theorem 8. *Let G be an α -diperfect graph on X . Then there exists an optimal coloring $c: X \rightarrow \{1, 2, \dots, \gamma(G)\}$ such that each vertex x_0 belongs to a path of cardinality $c(x_0)$ that meets each of the colors $1, 2, \dots, c(x_0)$ exactly once.*

Proof. Assume that the result is true for all graphs having chromatic number $< k$; we shall show that it is also true for a graph G with $\gamma(G)=k$.

Let (S_1, S_2, \dots, S_k) be an optimal coloring of G with $S_1 \cup S_2 \cup \dots \cup S_{k-1} = A$ maximal, and let $x_0 \in S_k$. So,

$$\gamma(G_A) = k-1; \gamma(G_{A \cup x_0}) = k.$$

By the induction hypothesis, each vertex x in A belongs to a path of G_A with the required properties for some coloring $(S'_1, S'_2, \dots, S'_{k-1})$ of G_A . Since the graph $G_{A \cup \{x_0\}}$ is γ -diperfect there exists a path containing x_0 that meets every color-class of the optimal coloring $(S'_1, S'_2, \dots, S'_{k-1}, \{x_0\})$. Therefore each vertex of G belongs to a path with the required property for the optimal coloring $(S'_1, S'_2, \dots, S'_{k-1}, S_k)$. ■

This result is analogous to a theorem of de Werra about perfect graphs [15].

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